



DT06 Rec'd PCT/PTO 09 AUG 2002

10/031304

PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: ALLGEUER, Thomas T.; BENSASON, Selim; CHUM, Pak-Wing S.; DEGROOT, Jacquelyne A.; KELCH, Robert H.; LIANG, Wenbin; TORRES, Antonio; WAGNER, Werner

Filed: January 15, 2002

For: FRINGED SURFACE STRUCTURES OBTAINABLE IN A COMPRESSION MOLDING PROCESS

International Application No.: PCT/US00/19320

International Filing Date: July 17, 2000

Priority Date Claimed: July 16, 1999

Serial No.: 10/031,304

Group Art Unit: Unknown

Examiner: Unknown

Atty Dkt: ADVA:012

Pursuant to 37 C.F.R. 1.8, I certify that this correspondence is being deposited with the U.S. Postal Service in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on the date below:

8-1-02 Date
R. Ferrara Name

Assistant Commissioner for Patents
Washington, DC 20231

Dear Sir:

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.

5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
- a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US); however, a copy is submitted herewith, including rectified FIGS. 1-3.
6. ☐ A translation of the International Application into English (35 U.S.C. 317(c)(2)).
7. ☐ Amendments to claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
- a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) 35 U.S.C. 371(c)(4)).
10. ☐ a translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 317(c)(5)).

Items 11 to 16 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment. Please enter this amendment prior to calculating the file fee.
- ☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☐ Other items or information: Request for Priority
17. ☐ The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492(a) (1) – (5)):

(§1.492(a)(1)) International preliminary examination fee paid to USPTO..... \$ 690.00

(§1.492(a)(2)) International preliminary examination fee not paid to USPTO,
but an international search fee was paid to the USPTO..... \$ 710.00

(§1.492(a)(3)) Neither the international preliminary fee nor the international
search fee was paid to the USPTO..... \$1000.00

(§1.492(a)(4)) International preliminary examination fee (37 CFR 1.482) not paid
to USPTO, and all claims satisfied the provisional of PCT Article 33 (10-(4))..... \$ 100.00

(§1.492(a)(5)) International Search Fee prepared by the EPO or JPO..... \$ 860.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$

FEE CALCULATION:

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	Total Claims (37 CFR 1.16(e))	— - 20 =	27	x \$ 18.00	\$
	Independent Claims (37 CFR 1.16(b))	— - 3 =	0	x \$ 80.00	\$0
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			x \$ 270.00	\$0
				Basic Fee (from above)	\$
				Total of above Calculations =	\$
	Reduction by 50% for filing by Small Entity (Note: 37 CFR 1.9, 1.27, 1.28)				\$0
	Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 29 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$0
	Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$0
	TOTAL NATIONAL FEE =				\$
	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) \$40.00 per property.				\$
	TOTAL FEES ENCLOSED =				\$

- a. ☒ A check in the amount of \$400 and a second check for \$130 to cover the above fees is enclosed.
- b. ☒ If the check is inadvertently omitted, or should any additional fees under 37 CFR §1.16 to 1.21, §1.492, or any other rule, be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Commissioner is authorized to deduct or credit said fees from or to Deposit Account No. 10-1205.
- ☒ Petition for a two (2) month extension of time & \$400 Check.
- ☒ In accordance with 37 CFR 1.136(a)(3), the Commissioner is authorized to treat any concurrent or future reply that requires a petition for an extension of time under 37 CFR 1.126(a) to be timely, as incorporating a petition for extension of time for the appropriate length of time, and the Commissioner is authorized to deduct any requisite fees under 37 CFR 1.16 to 1.21 from Deposit Account No. 10-1205.

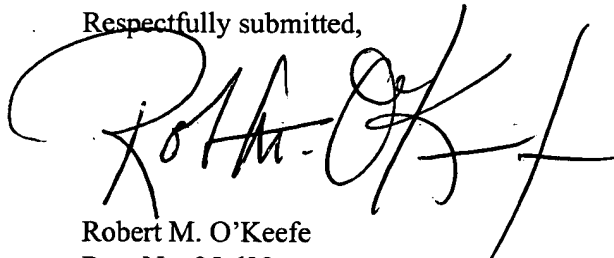
This application is submitted prior to the 30-month deadline in the international PCT application.
Please forward all correspondence to the undersigned at the address below.

08/13/2002 ATRAN1 00000126 10031304

01 FC:116
02 FC:154

400.00 OP
130.00 OP

Respectfully submitted,



Robert M. O'Keefe
Reg. No. 35,630

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1101 Capital of Texas Highway South
Building C, Suite 200
Austin, TX 78746
(512) 347-1611
(512) 347-1615 (FAX)

Attorney for Applicants



UNITED STATES PATENT AND TRADEMARK OFFICE

 CENTRAL MAIL STOP 1000, Box PCT
 United States Patent and Trademark Office
 Washington, D.C. 20531
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/031,304	Thomas T Allgeuer	ADVA:005

INTERNATIONAL APPLICATION NO.

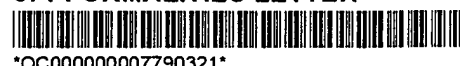
PCT/US00/19320

LA. FILING DATE	PRIORITY DATE
07/17/2000	09/14/1999

O'Keefe Egan & Peterman
 1101 Capital of Texas Highway South
 Building C, Suite 200
 Austin, TX 78746

CONFIRMATION NO. 9486

371 FORMALITIES LETTER



OC000000007790321

Date Mailed: 04/05/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments

DOCKETED	
BY: <u>DSF</u>	
DESC: <u>Not. of Missing Req.</u>	
DATES:	
<u>6-5-02</u>	<u>2 mo</u>
<u>10-5-02</u>	<u>6 mo</u>
DOCKET <input checked="" type="checkbox"/>	ATTY REVIEWED <input type="checkbox"/>
CAL <input checked="" type="checkbox"/>	
FILE: <input type="checkbox"/>	

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

WINSTON M ALVARADO

Telephone: (703) 305-6421

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/031,304	PCT/US00/19320	ADVA:005

FORM PCT/DO/EO/905 (371 Formalities Notice)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: ALLGEUER, Thomas T.; BENSASON, Selim; CHUM, Pak-Wing S.; DEGROOT, Jacquelyne A.; KELCH, Robert H.; LIANG, Wenbin; TORRES, Antonio; WAGNER, Werner

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Atty. Dkt: ADVA:012

Pursuant to 37 C.F.R. 1.8, I certify that this correspondence is being deposited with the U.S. Postal Service in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on the date below:

8-1-02 Al Ferrara
Date Name

REQUEST FOR EXTENSION OF TIME TO RESPOND TO
NOTIFICATION OF MISSING REQUIREMENTS DATED APRIL 5, 2002

Assistant Commissioner For Patents
Washington, D.C. 20231

Sir:

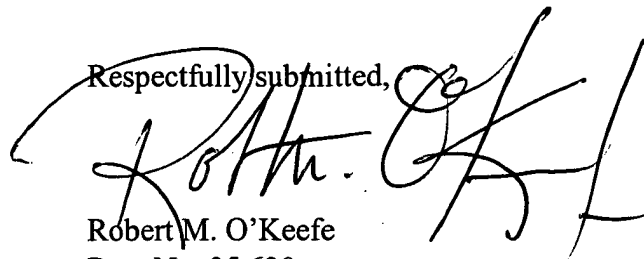
Pursuant to 37 C.F.R. §1.136(a), Applicants petition for an extension of time for two (2) month(s) to and including August 5, 2002, in which to respond to the Notification of Missing Requirements dated April 5, 2002.

Pursuant to 37 C.F.R. §1.17, a check in the amount of \$400 is enclosed, which is the fee for a two (2) month(s) extension of time.

If the check is inadvertently omitted, or should any additional fees under 37 C.F.R. §1.16 to 1.21 be required for any reason relating to the enclosed materials, or

should an overpayment be included herein, the Commissioner is authorized to deduct or credit said fees from or to Deposit Account No. 10-1205/ADVA:012.

Respectfully submitted,

A large, stylized handwritten signature in black ink, appearing to read "R. O'Keefe", is written over the typed name and extends to the right.

Robert M. O'Keefe
Reg. No. 35,630
Attorney for Applicants

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